

# WAVE FUNCTIONS FOR STRONGLY INTERACTING SYSTEMS

**Nandini Trivedi**

**Ohio State University**

[trivedi@mps.ohio-state.edu](mailto:trivedi@mps.ohio-state.edu)

**Tata institute of Fundamental  
Research, Mumbai**

Strongly correlated problems

Many body wave functions

Why variational methods

Why Monte Carlo: many degrees of freedom

Examples of strongly correlated systems

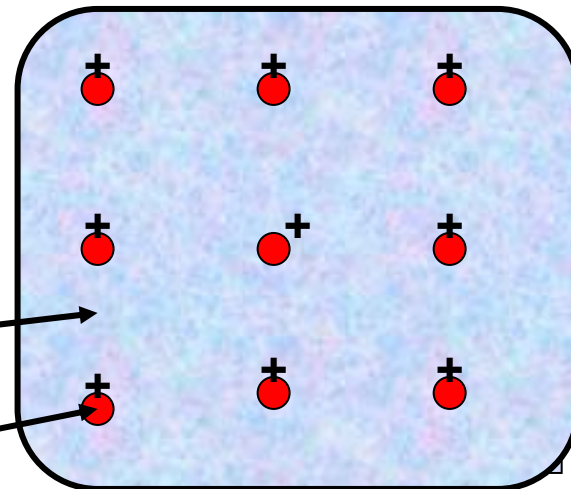
What is a strongly correlated problem?

$$H = \sum_i \frac{p_i^2}{2m} + \sum_{i<j} U(r_{ij}) + \sum_{i,\alpha} V(r_i - R_\alpha)$$

**MANY DEGREES OF FREEDOM**

N-Electron cloud

ion



**For PE << KE**

Start with single particle wave functions that diagonalize the KE operator

Treat the effects of PE as a perturbation on the single particle states

If electron-electron interactions can be treated as an effective one body term

$u_{eff}[n] \rightarrow$  band structure or electronic structure

**Density functional theory**  
**Hohenberg and Kohn**  
**Phys. Rev. 136B, 864 (1964)**

**For PE >> KE**

Start with the classical ground state; highly degenerate;

Perturb with KE

**What happens when PE ~ KE?**

# HUBBARD MODEL

$$\begin{aligned} \text{Kinetic Energy} &= -t \sum_{\langle i,j \rangle \sigma} c_{i\sigma}^+ c_{j\sigma} \\ &= \sum_k \epsilon_k c_{k\sigma}^+ c_{k\sigma} \end{aligned}$$

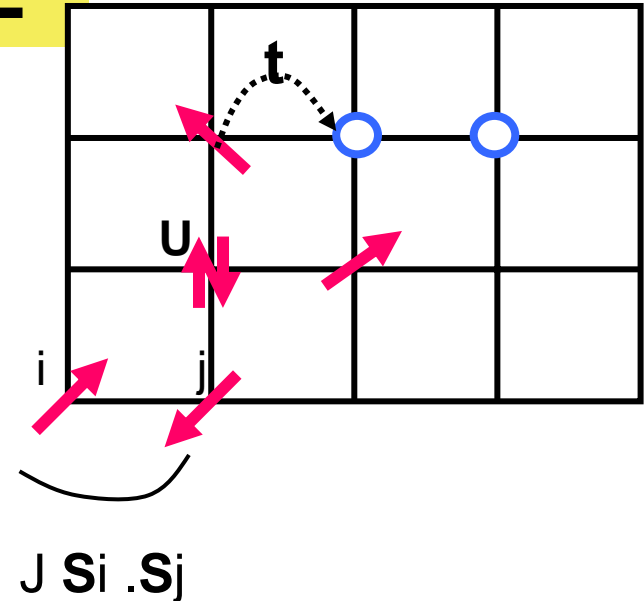
$$\epsilon_k = -2t(\cos k_x + \cos k_y)$$

$$\text{Potential Energy} = U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$U \gg t$  generates AFM exchange

$$J = 4t^2 / U$$

$$\text{Energy Scales: } J \leq t < U$$



$x$  = Hole doping = fraction of vacancies

## Lattice models

### Examples:

Quantum magnetism:

**Heisenberg antiferromagnet**

Strongly interacting bosons:  
atoms in traps; optical lattices:

**+U Bose Hubbard model**

Feshbach resonance: BCS-BEC crossover:

**-U Fermion Hubbard Model**

High temperature superconductivity:

**+U Fermion Hubbard model**

Quantum Hall Effect:

### Disorder driven Quantum Phase transitions

Superfluid—Bose Glass transition:

(Josephson Junction arrays; helium in aerogels)

**+U Bose Hubbard model +  
disorder**

Superconductor-Insulator Transition:

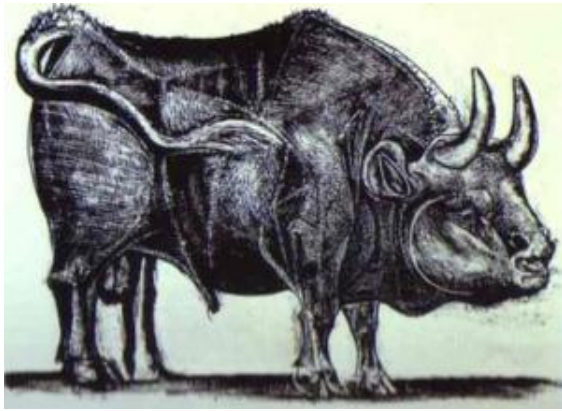
(ultra thin films; high  $T_c$  SCs)

**-U Fermion Hubbard model  
+ disorder**

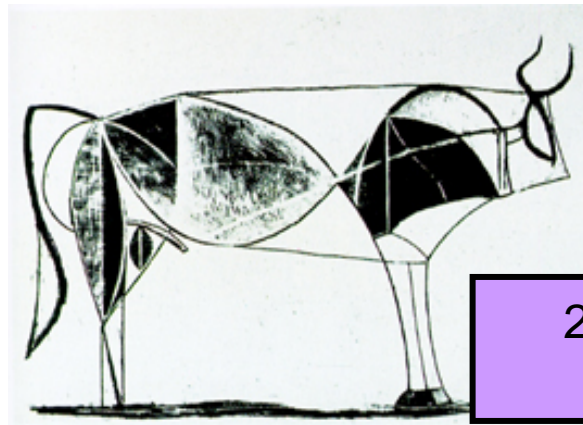
Metal-Insulator transition:

(disordered Mott insulators; 2D electron gases)

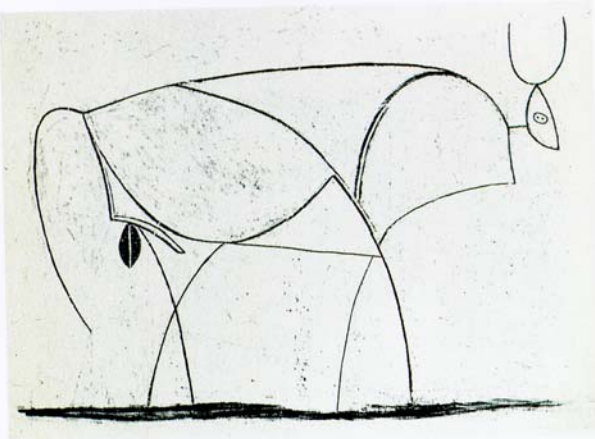
**+U Fermion model +  
disorder**



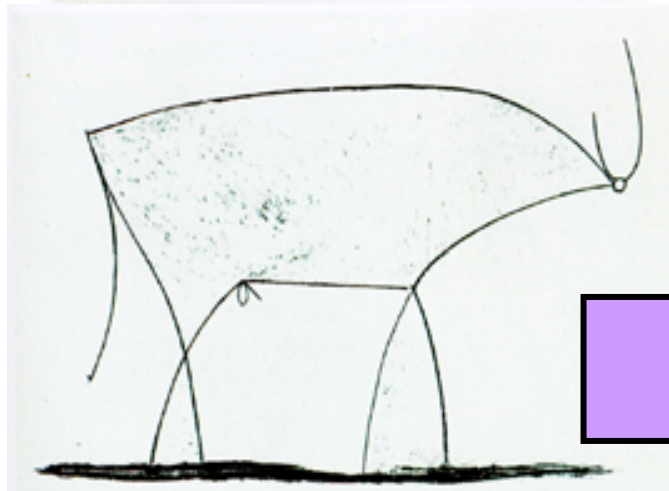
High  $t_c$  problem



2 dimensions  
Cu-O plane



3 band model



1 band model

# What theoretical tools do we have to study strongly correlated systems

Feynman diagrams  
Series expansion  
Functional integrals  
Scaling + RG

**Exact Diagonalization**  
**Variational Methods**  
**Quantum Monte Carlo**  
**Dynamical Mean Field Theory**

Id special techniques

**Need non-perturbative methods**  
**No small parameter**

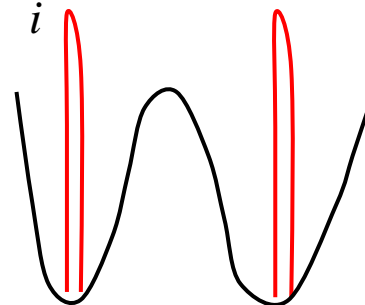
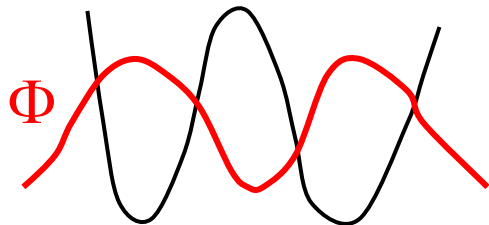
# Bose Hubbard Model

$$H = -t \sum_{\langle i, j \rangle_{nn}} a_i^\dagger a_j + \frac{U}{2} \sum_i n_i(n_i - 1)$$

$$[a_i, a_i^\dagger] = \delta_{ij}$$

$$n_i = a_i^\dagger a_i$$

$$N_{boson} = N_{sites}$$



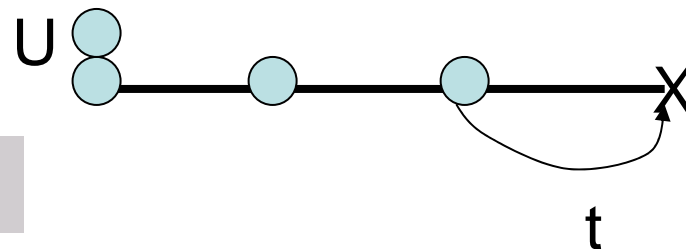
Competition between

**tunneling**

- delocalization
- Number Fluctuations
- Fixed phase

**interaction**

- Localization
- Fixed Number
- Phase Fluctuations



**SUPERFLUID**

**MOTT INSULATOR**

**QUANTUM PHASE TRANSITION T=0**

# Bose Einstein Condensation

$Rb^{87}$

$$n_p = 37 = n_e \quad n_n = 50$$

Even  $\rightarrow$  boson

$$a_{HO} \sim \sqrt{\frac{\hbar}{m\omega}} \sim 1\mu$$

$$\xi \sim 5a_{HO}$$

$$\rho \sim \frac{\#atoms}{\xi^3} \sim 10^{15} / cm^3$$

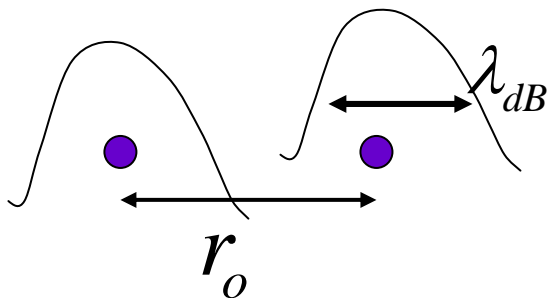
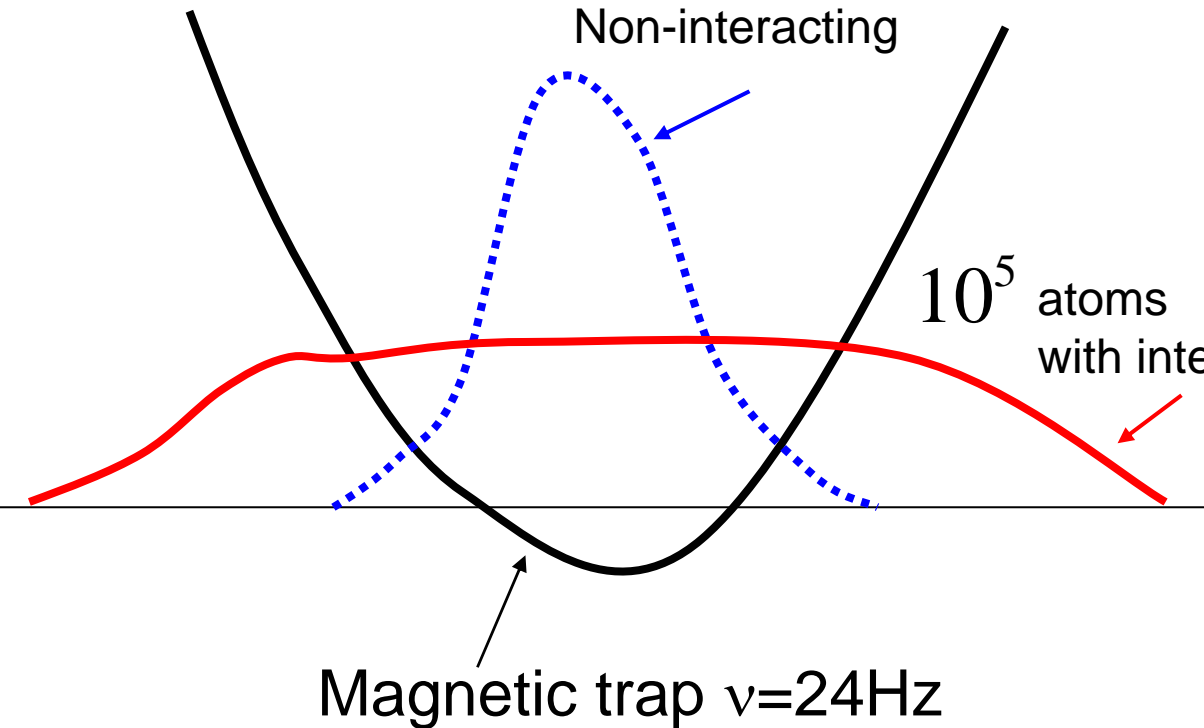
$$r_o \sim 1000A^o$$

$$\lambda_{dB} \sim r_o \sim 10^3 A^o$$

$$\text{for } k_B T_c \sim 1\mu K$$

Laser cooling; evaporative cooling  
All apparatus at room temp!!

Ref: BEC Pethick and Smith (Cambridge)

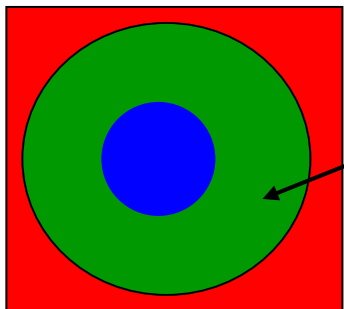
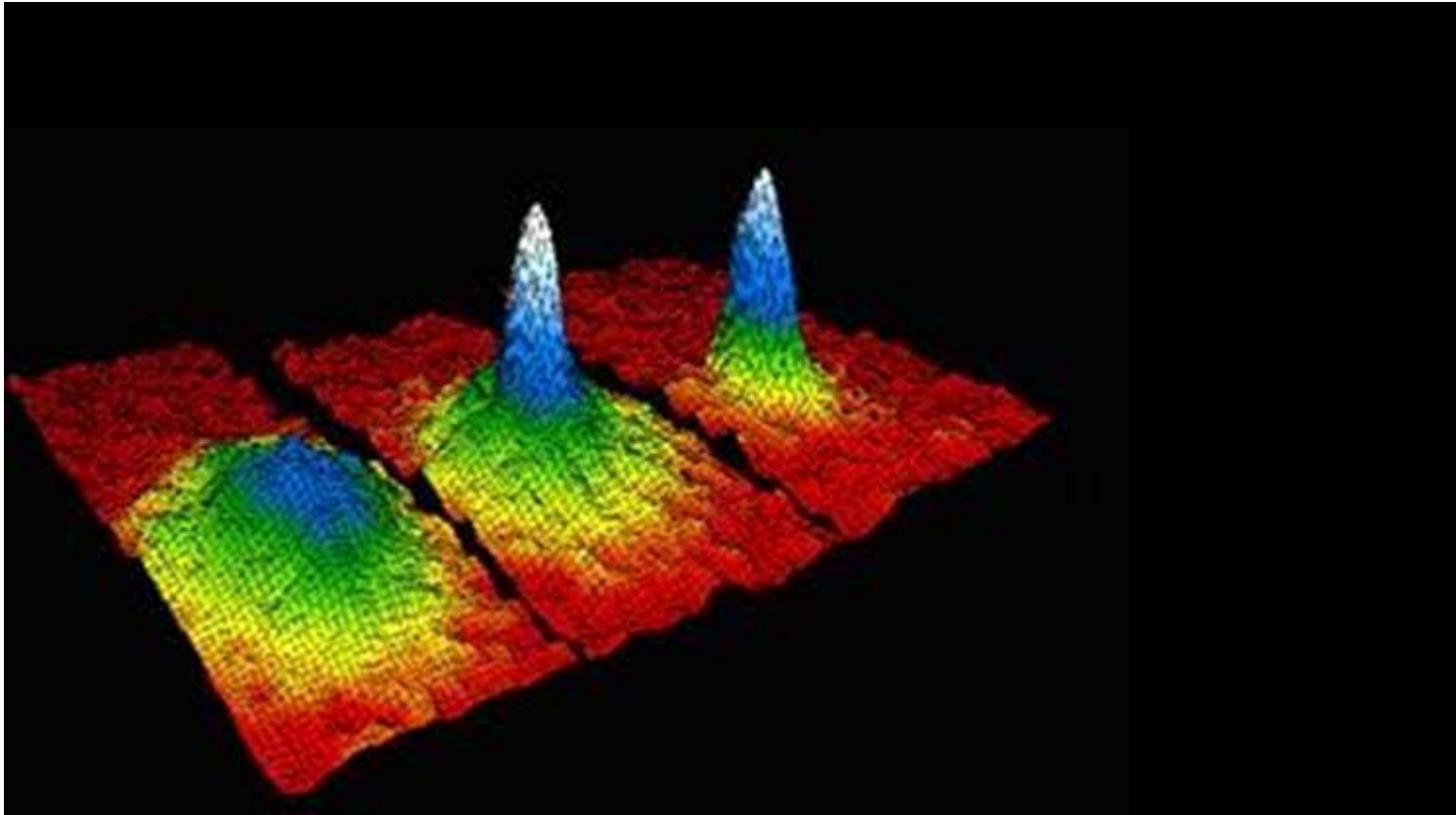


$$\lambda_{dB} = \sqrt{\frac{2\pi\hbar^2}{mk_B T}} \sim \frac{0.02}{\sqrt{k_B T(eV)}} A^o$$

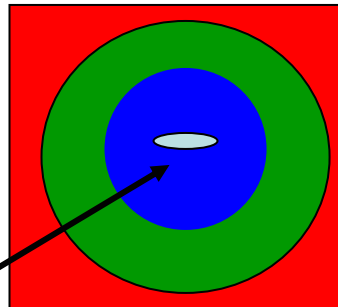


# ABSORPTION IMAGING

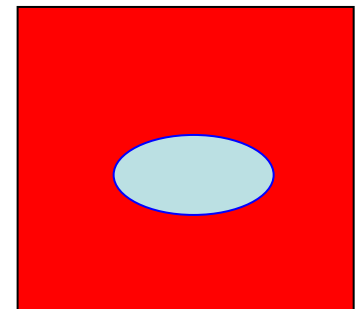
(BEC in r-space)



Thermal cloud



Anisotropic condensate



Macroscopic occupation of single quantum state

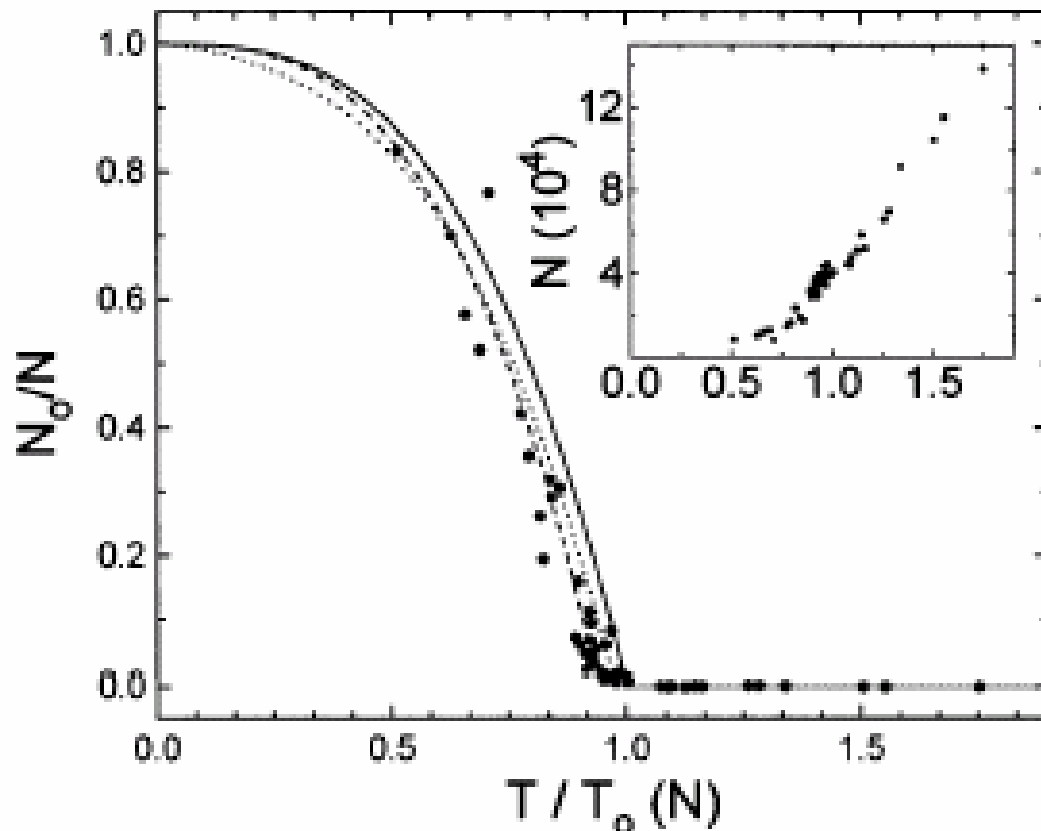
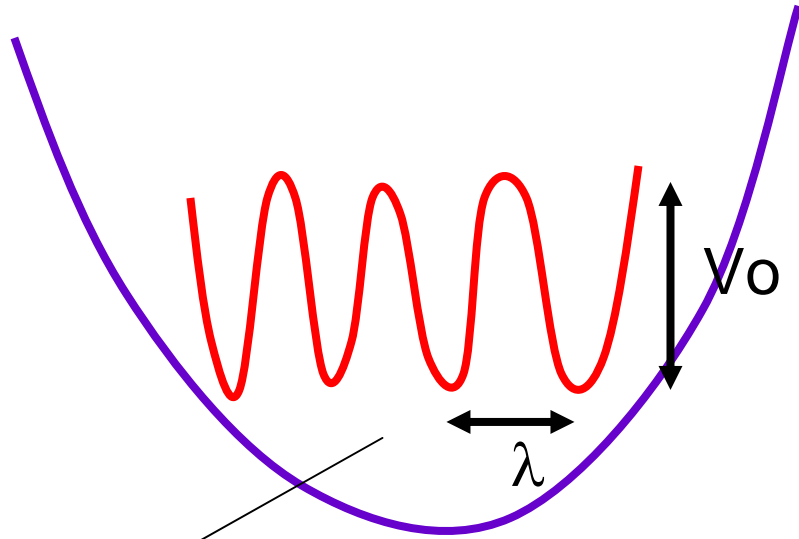


FIG. 1. Total number  $N$  (inset) and ground-state fraction  $N_0/N$  as a function of scaled temperature  $T/T_0$ . The scale temperature  $T_0(N)$  is the predicted critical temperature, in the thermodynamic (infinite  $N$ ) limit, for an ideal gas in a harmonic potential. The solid (dotted) line shows the infinite (finite)  $N$  theory curves. At the transition, the cloud consists of 40 000 atoms at 280 nK. The dashed line is a least-squares fit to the form  $N_0/N = 1 - (T/T_c)^\beta$  which gives  $T_c = 0.94(5)T_0$ . Each point represents the average of three separate images.

# OPTICAL LATTICE



$$V(x) = V_0 \sin^2(2\pi x / \lambda)$$

Laser intensity

Laser wavelength  
852nm

$$E_{laser} = \hbar^2 / 2m\lambda^2$$

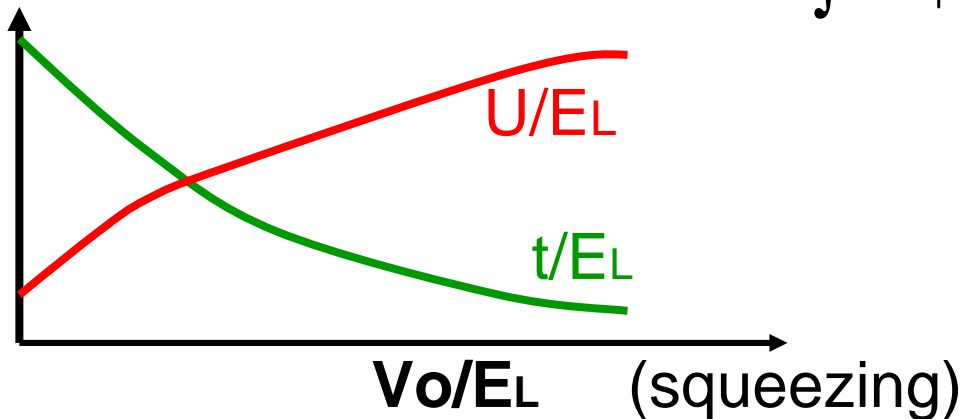
#atoms  $\sim 2 \times 10^5$

#lattice sites  $\sim 15 \times 10^4$

$\sim 1-2$  atoms /site

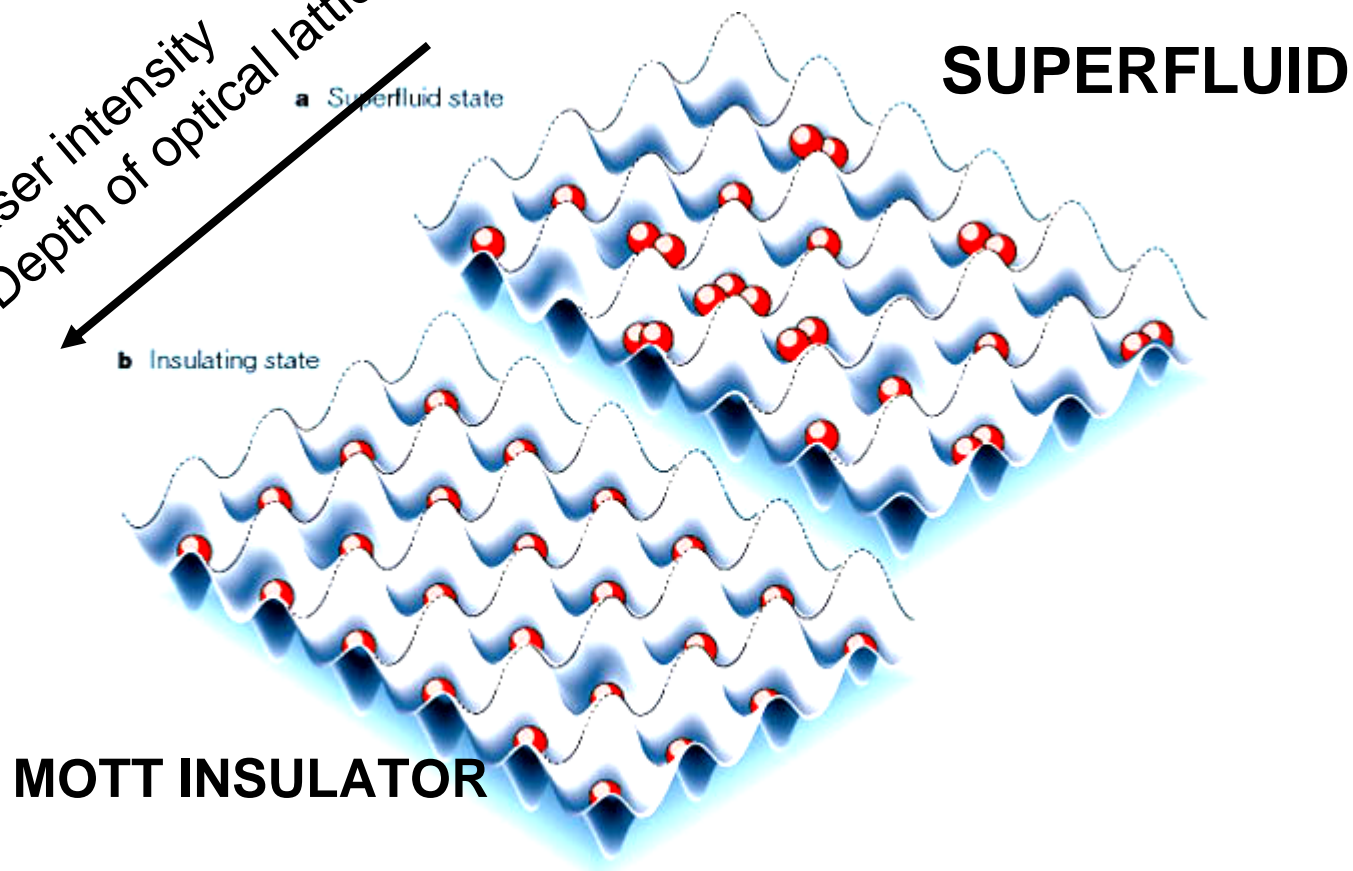
$$t \sim \int dx \varphi(x - x_i) \left[ \frac{-\hbar^2}{2m} \nabla^2 + V(x) \right] \varphi(x - x_j)$$

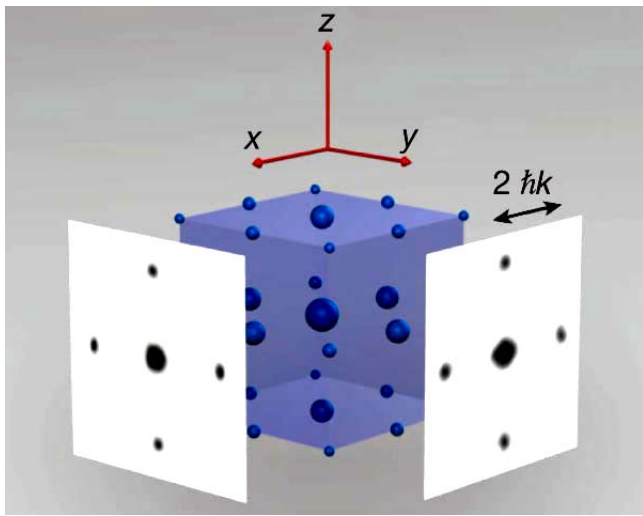
$$U \sim g \int dx |\varphi(x - x_i)|^4$$



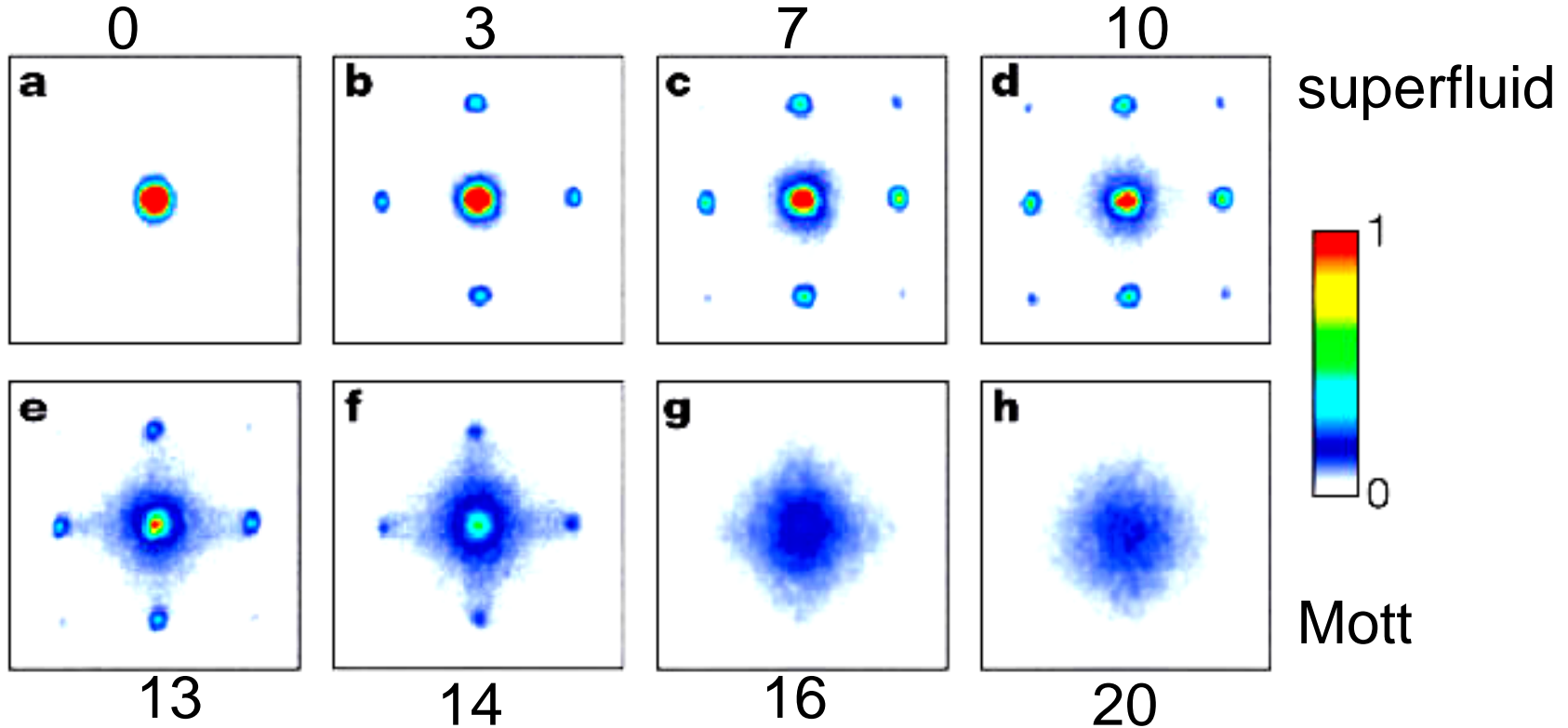
Rb atoms at 10nK

Laser intensity  
Depth of optical lattice

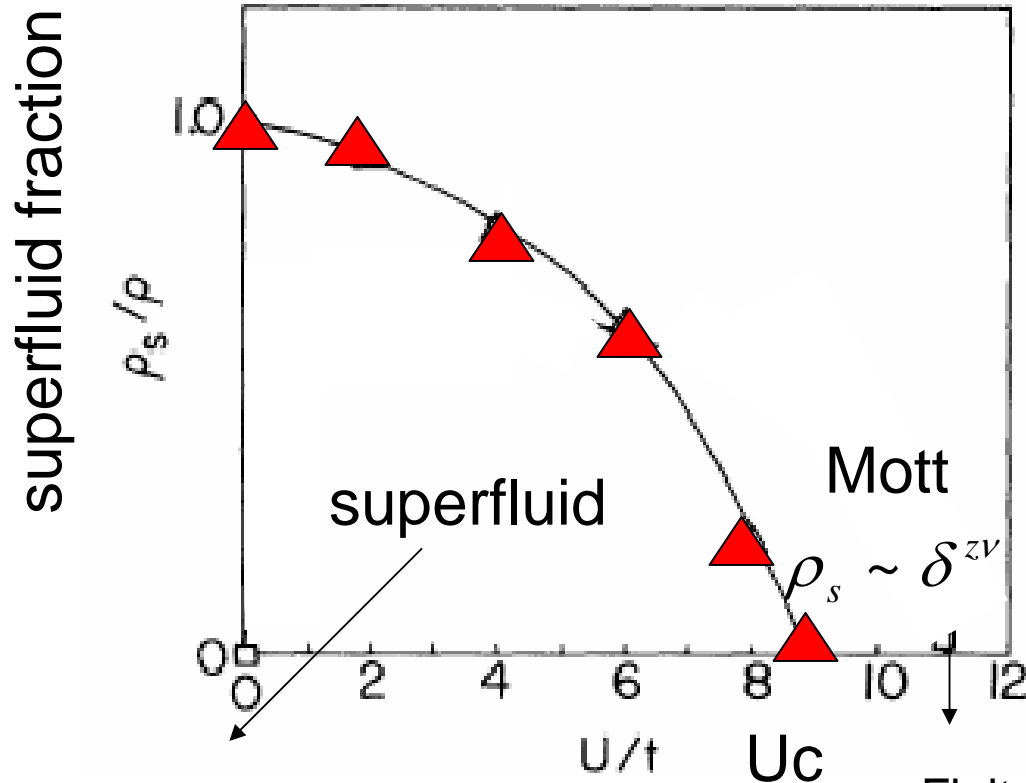




tune periodic potential depth  $V_0/E_L$



# Bose Hubbard Model



Gapless excitations: phonons  
compressible

Finite gap  
incompressible

Universality class:  $(d+1)$  XY model  
2d:  $\nu=2/3$ ;  $z=1$   
Fisher et al PRB 40, 546 (1989)

Krauth and N. Trivedi, Euro Phys. Lett. 14, 627  
(1991) QMC 2d

Diverging length scales

$$\xi \sim \delta^{-\nu}$$

Diverging time scales

$$\xi_\tau \sim \xi^z \sim \delta^{-z\nu}$$

Energy  $\Omega \sim \delta^{z\nu}$

dynamics and statics  
linked by H

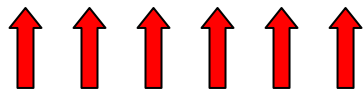
# HEISENBERG ANTIFERROMAGNET

$S=1/2$

$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j = \frac{J}{2} \sum_{\langle ij \rangle} (S_i^+ S_j^- + S_j^- S_i^+) + J \sum_{\langle ij \rangle} S_i^z S_j^z$$

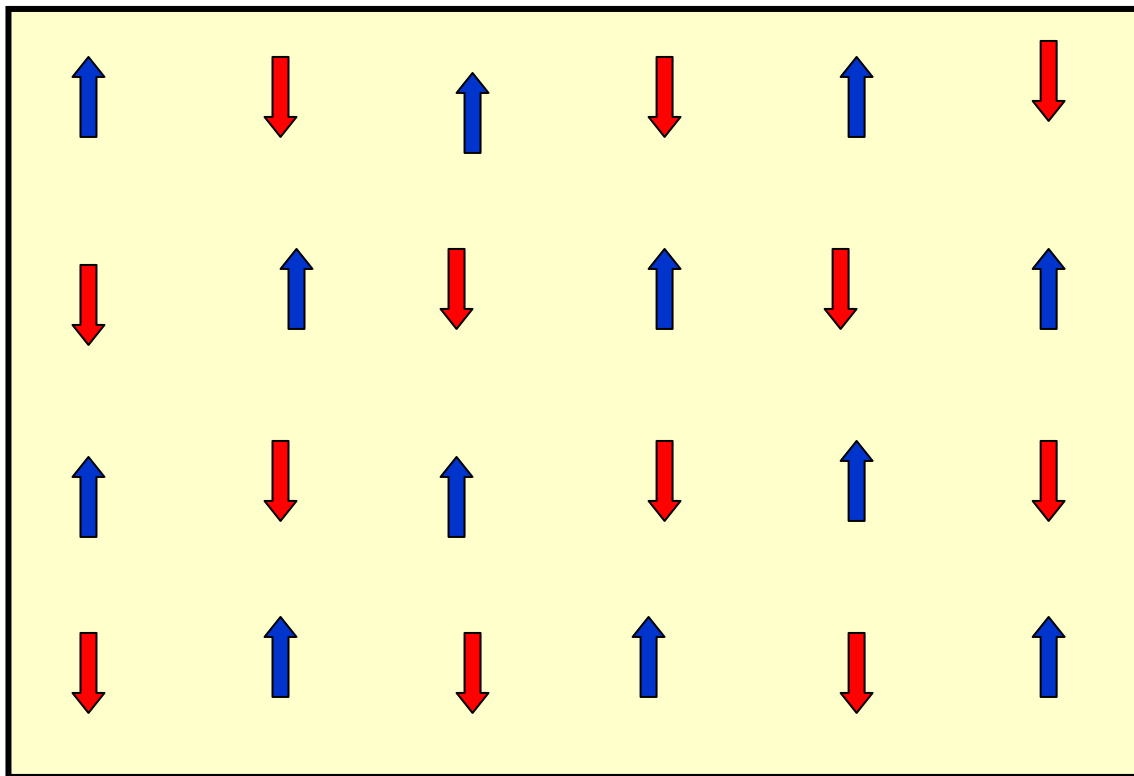
$$S_1^+ S_2^- \left| \begin{array}{c} \downarrow \uparrow \\ 12 \end{array} \right\rangle = \left| \begin{array}{c} \uparrow \downarrow \\ 12 \end{array} \right\rangle$$

For  $J < 0$  Ground State:



**FERROMAGNET**

$$J_z > 0; J_{xy} = 0$$



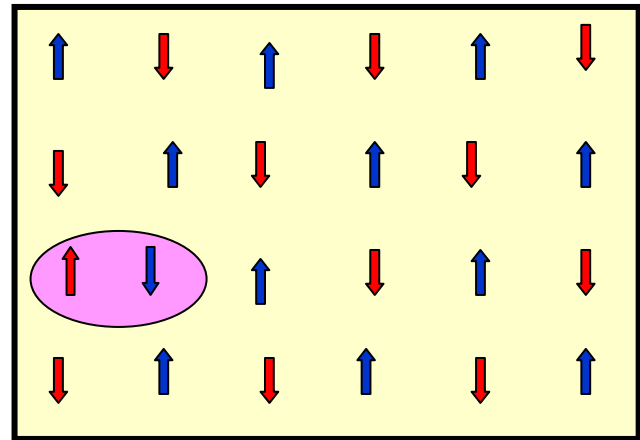
CLASSICAL GROUND STATE: NEEL ANTIFERROMAGNET

$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j = \frac{J}{2} \sum_{\langle ij \rangle} (S_i^+ S_j^- + S_j^- S_i^+) + J \sum_{\langle ij \rangle} S_i^z S_j^z$$



$$J_z > 0; J_{xy} \neq 0$$

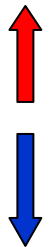
QUANTUM FLUCTUATIONS  
INTRODUCED BY SPIN FLIPS



$$S_1^+ S_2^- |\downarrow_1 \uparrow_2\rangle = |\uparrow_1 \downarrow_2\rangle$$

At finite T thermal fluctuations destroy long range order in  $d \leq 2$

What happens at T=0: do quantum fluctuations destroy long range order?



A sublattice

B sublattice

$$\epsilon_i = \begin{cases} i \in A \\ i \in B \end{cases}$$

$$m^+ = \langle \epsilon_i S_i^z \rangle$$

## 1D: Exact results: Bethe and Hulthen, 1930

	Heisenberg QAFM	Neel State
$E_0/N$	-0.42J	-0.25
$m^+$	0	0.5

**Quantum fluctuations completely  
destroy long range order in 1D**

2D: No exact results for Quantum Heisenberg model

XY model:  $J_z = 0; J_{xy} \neq 0$   $m^+ \neq 0$

XXZ model:  $J_{xy} / J_z > 1.78$   $m^+ \neq 0$

What happens when  $J_{xy} = J_z$  ?

Kennedy, Lieb, Shastry  
PRL, 61, 2582 (1988);  
Kubo and Kishi,  
PRL 61, 2585 (1988)

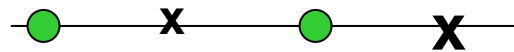
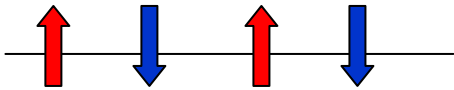
# EXACT TRANSFORMATION $S=1/2$ to hard core bosons

$$H = J \sum_{\langle ij \rangle} S_i \cdot S_j = \frac{J}{2} \sum_{\langle ij \rangle} S_i^+ S_j^- + S_j^- S_i^+ + J \sum_{\langle ij \rangle} S_i^z S_j^z$$

$$S_i^+ \rightarrow a_i^+$$

$$S_i^- \rightarrow a_i$$

$$S_i^z = S_i^+ S_j^- - \frac{1}{2} \rightarrow n_i - \frac{1}{2} = a_i^+ a_i - \frac{1}{2}$$



Matsubara and Matsuda  
Prog. Theor. Phys. 16, 569 (1956)

$S_i^\pm$  commute on different sites—same as boson operators

$S_i^\pm$  anticommute on same site

$$(S_i^+)^2 |0\rangle = 0 \Rightarrow (a_i^+)^2 |0\rangle = 0$$

$$\Rightarrow n_i = 0,1 \quad (\text{HARD CORE BOSONS})$$

Sublattice rotation on B sublattice  $S_i^+ \rightarrow \varepsilon_i a_i^+$

$$H = -\frac{J}{2} \sum_{\langle i,j \rangle} (a_i^+ a_j + a_j^+ a_i) + J \sum_{\langle i,j \rangle} n_i n_j + E_N$$

+ hard core constraint on a given site through commutation relations

↓  
KE of bosons



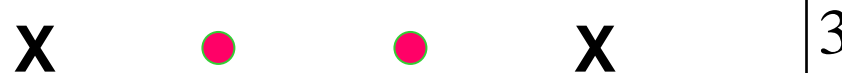
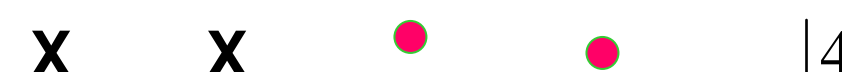
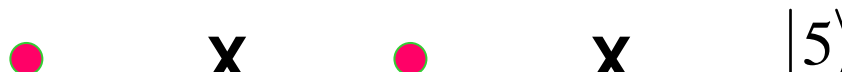

↓  
Repulsion between bosons on nearest neighbor sites

$$E_N = -JNz / 8$$

Classical Neel state energy; z=#neighbors

# EXACT DIAGONALIZATION: example: Nsites=4; Nboson=2; Periodic Boundary C

$$H = -\frac{J}{2} \sum_{\langle i,j \rangle} (a_i^+ a_j + a_j^+ a_i) + J \sum_{\langle i,j \rangle} n_i n_j$$

$H=J$ 

$$\begin{pmatrix} 1 & 0 & 0 & 0 & -1/2 & -1/2 \\ 0 & 1 & 0 & 0 & -1/2 & -1/2 \\ 0 & 0 & 1 & 0 & -1/2 & -1/2 \\ 0 & 0 & 0 & 1 & -1/2 & -1/2 \\ -1/2 & -1/2 & -1/2 & -1/2 & 0 & 0 \\ -1/2 & -1/2 & -1/2 & -1/2 & 0 & 0 \end{pmatrix}$$

Eigenvalues: -1, 0, 1, 1, 1, 2

$$\frac{E_0}{N} = \left( \frac{-1}{4} + \frac{-2}{8} \right) J = -0.5J \xrightarrow{L \rightarrow \infty} -0.42J$$

Ground State

$$\Psi_0 = \frac{1}{\sqrt{12}} (|1\rangle + |2\rangle + |3\rangle + |4\rangle + 2|5\rangle + 2|6\rangle)$$

$$m^+ = \left\langle \sum_i \varepsilon_i S_i^z \right\rangle = \left\langle \sum_i \varepsilon_i (n_i - 1/2) \right\rangle = \left\langle \sum_i \varepsilon_i n_i \right\rangle$$

$$m^+ = \frac{\langle 5 | \sum_i \varepsilon_i n_i | 5 \rangle}{\langle \Psi_0 | \Psi_0 \rangle} \times 2 = \frac{4}{12} = \frac{1}{3} \xrightarrow{L \rightarrow \infty} 0$$

## Limitations of Exact Diagonalization

Suppose we want to study a 4x4 system

Nsites=16      Nboson=8

Number of states  $c_8^{16} = \frac{16!}{(8!)^2} = 12870$

Number of elements in H=  $12870^2 = 165636900$

Amount of storage 8 bytes per element= $1.33 \times 10^9$  bytes = *1GBram*

# VARIATIONAL APPROACH

## CHOICE OF TRIAL WAVE FUNATION

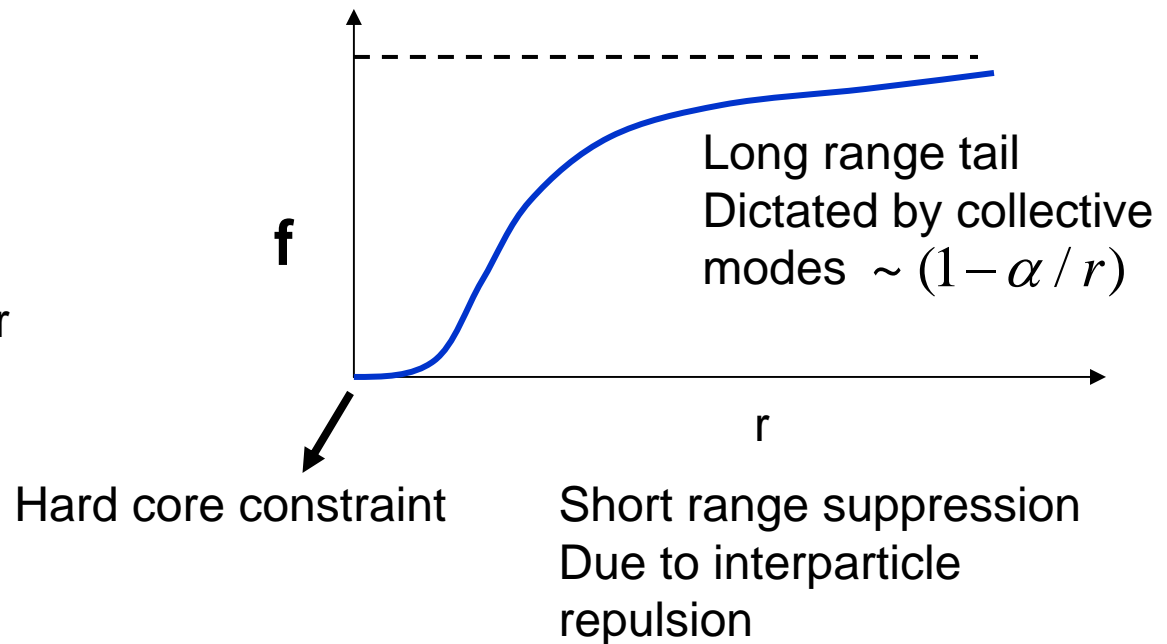
$$\Psi_T(r_1, r_2, \dots, r_N) = e^{-\sum_{i<j} u(r_{ij})} \times 1$$

$$e^{-\sum_{i<j} u(r_{ij})} = \prod_{i<j} f(r_{ij})$$

Jastrow correlation factor

Analogy with He4  
McMillan PR 138 A 442 (1965)

Non-interacting bosons  
Perfect condensation in k-space  
Uniform in r-space



Ground state many body wave function is REAL and NODELESS  
Statement of Marshall sign for spin systems

Variational calculation: Example Nsites=4 Nboson=2

$$\Psi_T(R) = \prod_{i < j} f$$

$\langle \Psi_T | \Psi_T \rangle = 2 + 4f_1^2$

●	●	<b>X</b>	<b>X</b>	$ 1\rangle$	$\langle \Psi_T   1 \rangle = f_1$
●	<b>X</b>	<b>X</b>	●	$ 2\rangle$	$\langle \Psi_T   2 \rangle = f_1$
<b>X</b>	●	●	<b>X</b>	$ 3\rangle$	$\langle \Psi_T   3 \rangle = f_1$
<b>X</b>	<b>X</b>	●	●	$ 4\rangle$	$\langle \Psi_T   4 \rangle = f_1$
●	<b>X</b>	●	<b>X</b>	$ 5\rangle$	$\langle \Psi_T   5 \rangle = 1$
<b>X</b>	●	<b>X</b>	●	$ 6\rangle$	$\langle \Psi_T   6 \rangle = 1$



$$H = -\frac{J}{2} \sum_{\langle i,j \rangle} (a_i^+ a_j + a_j^+ a_i) + J \sum_{\langle i,j \rangle} n_i n_j = -\frac{J}{2} \hat{T} + J \hat{V}$$

$$T|\bullet\bullet\times\times\rangle = |\bullet\times\bullet\times\rangle + |\times\bullet\times\bullet\rangle$$

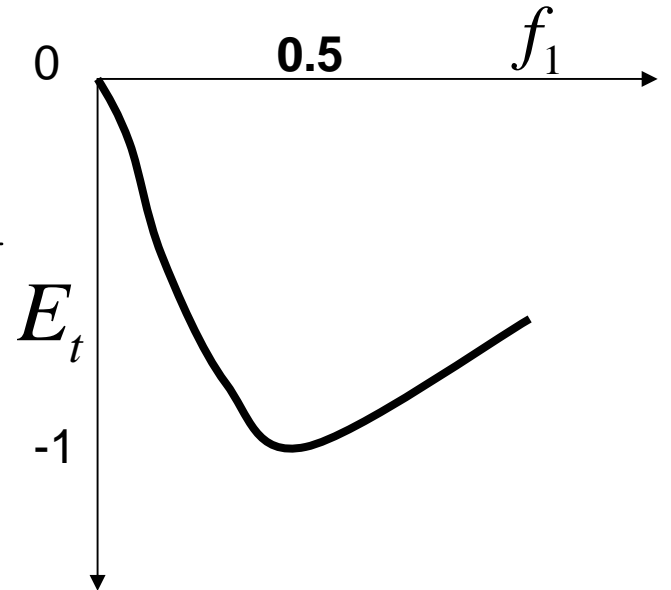
$$T|\times\bullet\times\bullet\rangle = |\bullet\times\times\bullet\rangle + |\times\times\bullet\bullet\rangle + |\times\bullet\bullet\times\rangle + |\bullet\bullet\times\times\rangle$$

$$\langle H \rangle = \frac{\left[ -\frac{J}{2} (4f_1 \times 2 + 2f_1 \times 4) + Jf_1^2 \times 4 \right]}{2 + 4f_1^2}$$

$$E_t = \frac{-8f_1 + 4f_1^2}{2 + 4f_1^2}$$

$$f_1^* = 1/2$$

$$E_t^* = -1$$



$$E_t / N = -1/4 + E_N / N = -1/4 - 1/4 = -0.5J$$